## IN THE UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF NEW YORK

SOLYSTIC SAS,	) )
Plaintiff,	)
	<b>07-CV-3143 (Judge Leisure)</b>
V.	)
	) ECF Case
MANNESMANN DEMATIC RAPISTAN CORP.,	)
SIEMENS DEMATIC RAPISTAN CORP. (a/k/a	) Defendant's Initial Disclosures
SIEMENS DEMATIC CORPORATION),	) Pursuant to Federal Rule of
SIEMENS ENERGY & AUTOMATION,	) Civil Procedure 26(a)(1)
INC., POSTAL AUTOMATION DIVISION	)
(a/k/a SIEMENS DEMATIC POSTAL	)
AUTOMATION L.P.), SIEMENS ENERGY	)
& AUTOMATION , INC., SIEMENS LOGISTICS	)
AND ASSEMBLY SYSTEMS, INC., and	)
DEMATIC CORP.,	)
	)
Defendants.	)

## DEFENDANT SIEMENS ENERGY AND AUTOMATION, INC.'S <a href="https://example.com/initial-disclosures">initial disclosures</a>

Defendant Siemens Energy & Automation, Inc. ("Siemens") hereby submits its Initial Disclosures pursuant to FED. R. CIV. P. 26(a)(1) as follows:

The name and, if known, the address and telephone number of each individual likely to have discoverable information that the disclosing party may use to support its claims or defenses, unless solely for impeachment, identifying the subjects of the information.1

Solystic SAS f/k/a Mannesmann Dematic Postal Automation, S.A. c/o Elliot Polebaum Fried, Frank, Shriver & Jacobson LLP 1001 Pennsylvania Ave. N.W., Suite 800 Washington D.C. 20004 (202) 639-7000

Persons at Solystic SAS ("Solystic") have knowledge of the facts surrounding Solystic's claims in this action.

Siemens Energy & Automation, Inc. c/o David S. Coale Carrington, Coleman, Sloman & Blumenthal, L.L.P. 901 Main Street, Suite 5500 Dallas, Texas, 75202 (214) 855-3000

Persons at Siemens Energy & Automation, Inc., including the Postal Automation division, may have knowledge of the facts surrounding Siemens' defenses asserted in this action and the facts giving rise to this dispute.

John Russell Senior counsel Northrop Grumman Corporation's Newport News Sector 5004 Marsh Ridge Court Suffolk Virginia, USA 23435 (757) 688-0440

Mr. Russell may have knowledge regarding the Patent and Know-How License Agreement between Mannesmann Dematic Rapistan Corp. and Alcatel Postal Automation Systems and the April 24, 2001 amendment thereto (together, the "License Agreement"), the Stock and Asset Purchase Agreement among Siemens AG, Mannesmann France S.A., Mannesmann Dematic Services NV and NGC Denmark ApS, Northrop Grumman Automated Systems Belgium NV, Northrop Grumman Corporation dated February 27, 2001 (the "SAPA"); the royalties paid under the License Agreement; and correspondence exchanged among the parties to this action, their predecessors-in-

<sup>1</sup> The titles and corporate associations for persons listed herein are disclosed upon information and belief as of the time of the relevant transactions.

interest, parent corporations or other related entities (collectively, the "parties"), including demands for royalty payments and responses thereto.

Steven Axelrod Former Vice President Federal Systems, Operation, Mannesmann Dematic Rapistan Corporation 4147 Eastern Ave. SE Grand Rapids, MI 49508-3403

Mr. Axelrod may have knowledge regarding the contracts/orders between Mannesmann Dematic Rapistan Corp. and the United States Postal Service for AFSM 100 equipment, the License Agreement, the SAPA, the royalties paid under the License Agreement, and correspondence exchanged among the parties, including demands for royalty payments and responses thereto.

Mitchell D. Mroz Former Vice President Special Projects of Northrop Grumman Systems Corp. 3422 Wedgewood Ln. Burbank, California (818) 558-7921

Mr. Mroz may have knowledge regarding the License Agreement, the royalties paid under the License Agreement, and correspondence exchanged among the parties, including demands for royalty payments and responses thereto.

Paul H. Freedman Director of Postal Systems Northrop Grumman Corp. Baltimore, Maryland

Mr. Freeman may have knowledge regarding the License Agreement.

John P. Dunn, Counsel for Mannesmann Dematic Rapistan Corporation Jones Day 901 Lakeside Avenue Cleveland, Ohio 44114-1190 (216) 586-3939

Mr. Dunn may have knowledge regarding the License Agreement, the SAPA, and correspondence exchanged among the parties, including demands for royalty payments and responses thereto.

Laura Snyder Paul Bishop Counsel for Northrop Grumman Corp. Winston & Strawn 25 Ave. Marceau 75116 Paris, France 33 (0) 1 53 64 82 82

Mr. Bishop and Ms. Snyder may have knowledge of the August 14, 1998 Subcontract No. 4101003325 between Mannesmann Dematic Rapistan Corp. and Alcatel Postal Automation Systems ("Subcontract"), the License Agreement, the SAPA, and correspondence exchanged among the parties, including demands for royalty payments and responses thereto.

Marie-Jeanne Lescure Employee of Mannesmann Dematic Postal Automation

Ms. Lescure may have knowledge of the royalties paid under the License Agreement.

Arnaud G. Vanbremeersch, Counsel for Mannesmann Dematic Rapistan Corporation Jones Day 120, rue du Faubourg Saint-Honoré 75008 Paris, France 33 1 56 59 39 39

Mr. Vanbremeersch may have knowledge of the Subcontract, the License Agreement, and correspondence exchanged among the parties.

Vincent P. Papa Former Senior Subcontracts Administrator of Mannesmann Dematic Rapistan Corporation 4147 Eastern Ave. SE Grand Rapids, MI 49508-3403

Mr. Papa may have knowledge of the Subcontract, the License Agreement, the royalties paid under the License Agreement and related invoices, and correspondence exchanged among the parties, including demands for royalty payments and responses thereto.

Francine Clement Catherine Dufour Patricia Pedrosa **Employees of Solystic** 14, Ave. Raspail 94257 Gentilly Cedex-France 01 49 69 4100

Ms. Clement, Ms. Dufour and Ms. Pedrosa may have knowledge of the License Agreement, royalties paid under the License Agreement and related invoices, and correspondence exchanged among the parties, including demands for royalty payments and responses thereto.

Dr. Peter Moritz Managing Director Coordinator Corporate M & A Siemens AG Wittelsbacherplatz 2, 80333 Munich, Germany 49-89/636-32472

Mr. Moritz may have knowledge of the SAPA, the License Agreement, royalties paid under the License Agreement, and correspondence exchanged among the parties.

Joseph D. Rounkles Director Strategic Transactions Northrop Grumman Corp. 1840 Century Park East Los Angeles, CA 90067-2199

Mr. Rounkles may have knowledge of the royalties paid under the License Agreement, contracts and correspondence between the parties, including demands for royalty payments and responses thereto.

Mark Ureda Director, Business Strategy Northrop Grumman Corp. 1840 Century Park East Los Angeles, CA 90067-2199

Mr. Ureda may have knowledge of the License Agreement, royalties paid under the License Agreement, and correspondence exchanged among the parties, including demands for royalty payments and responses thereto.

Malcolm Swift Northrop Grumman Corp. 1840 Century Park East Los Angeles, CA 90067-2199

Mr. Swift may have knowledge of the License Agreement, royalties paid under the License Agreement, and correspondence exchanged among the parties, including demands for royalty payments and responses thereto.

Brian Place Inside counsel for Siemens Dematic 3225 North Evergreen Drive, NE, Suite 102 Grand Rapids, Michigan 49525

Mr. Place may have knowledge of royalties paid under the License Agreement and related invoices, and correspondence exchanged among the parties, including demands for royalty payments and responses thereto.

Pierre Andre Barriere Former Chief Executive Officer of Mannesmann Dematic Postal Automation, President and CEO of Solystic 14, Avenue Raspail 94257 Gentilly, France 0 1 49 69 4100

Mr. Barriere may have knowledge of the Subcontract, the License Agreement, the SAPA, royalties paid under the License Agreement, and correspondence exchanged among the parties, including demands for royalty payments and responses thereto.

Jean Marie Stevant Chief Financial Officer Mannesmann Dematic Postal Automation 14, Avenue Raspail 94250 Gentilly, France 01 49 69 4100

Ms. Stevant may have knowledge of royalties paid under the License Agreement and correspondence exchanged among the parties, including demands for royalty payments and responses thereto.

Ralf Guntermann Employee of Atecs Mannesmann AG Mannemannufer 2 40213 Dusseldorf, Germany

Mr. Gunterman may have knowledge of approval of contracts between the parties, the Subcontract, the License Agreement, and correspondence exchanged among the parties, including demands for royalty payments and responses thereto.

Roland Kollinger CF T 6/Post Closing Management, Siemens AG Wittelsbacherplatz 2 80333 Munich, Germany 49 89 636-32822

Mr. Kollinger may have knowledge of approval of contracts between the parties, the Subcontract, the License Agreement, and correspondence exchanged among the parties, including demands for royalty payments and responses thereto.

Elliot Polebaum Fried Frank Harris Shriver & Jacobson LLP Counsel for Solystic SAS 1001 Pennsylvania Ave. N.W. Washington DC 20004-2505 (202) 639-7000

Mr. Polebaum has knowledge of the facts underlying Solystic's claims in this action, specifically including the attorneys' fees sought by Solystic.

Siemens incorporates by reference those persons disclosed by Solystic in its FED. R. CIV. P. 26(a) Initial Disclosures and reserves the right to supplement this disclosure as necessary when and if more information becomes available.

B. A copy of, or a description by category and location of, all documents, electronically stored information, and tangible things that are in the possession, custody, or control of the party and that the disclosing party may use to support its claims or defenses, unless solely for impeachment.

Siemens may use the following in support of its claims or defenses: Patent and Know-How License Agreement and amendment thereto dated April 24, 2001 (together, the "License Agreement"); Stock and Asset Purchase Agreement among Siemens AG, Mannesmann France S.A., Mannesmann Dematic Services NV and NGC Denmark ApS, Northrop Grumman Automated Systems Belgium NV, Northrop Grumman Corporation dated February 27, 2001; Subcontract Agreement No. 4101003325 between Mannesmann Dematic Rapistan Corp. and Alcatel Postal Automation Systems made August 14, 1998; United States Postal Service contracts and orders related to the AFSM 100 equipment; business records regarding the delivery and payment for the AFSM 100 equipment and related licenses/royalties; invoices for AFSM 100 equipment and royalties due or purportedly due under the License Agreement; Memorandum of Understanding between Siemens AG and Northrop Grumman Corporation; and correspondence between the parties to this action, their predecessors-in-interest, parent corporations or other related entities related to the License Agreement and the payment of royalties, including without limitation demands for payment of royalties and response thereto.

These documents should be in the custody and control of both Siemens and Solystic.

Siemens reserves the right to rely on those documents disclosed by Solystic and to supplement this disclosure as necessary, when and if more information becomes available.

C. A computation of any category of damages claimed by the disclosing party, making available for inspection and copying as under Rule 34 the documents or other evidentiary material, not privileged or protected from disclosure, on which such computation is based, including materials bearing on the nature and extent of injuries suffered.

Siemens is not seeking any damages in this action at this time.

D. Any insurance agreement under which any person carrying on an insurance business may be liable to satisfy part or all of a judgment which may be entered in the action or to indemnify or reimburse for payments made to satisfy the judgment.

None.

September 27, 2007

Respectfully submitted,

## /s/ Rebecca Visosky

David S. Coale (admitted *pro hac vice*)
Rebecca Visosky (admitted *pro hac vice*)
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